Quota Share Accounts

# Use Case: Initiate QS Transfer

**Scope**: IFQ Account Application

**Level**: **Primary Actor**: QS Account Owner

**Use Case Phase**: **Updated:** 08/09/2013

**Pre-conditions**: User with QS account access has successfully logged on to QS account, and user has navigated to the Initiate Transfer Page.

**Post-conditions**: The system validates that the transfer does not surpass the control limits for QS percentages. The system generates a confirmation ID and messages that notify both parties of a pending QS transfer in their account.

**Basic Flow**:

1. User navigates to the Initiate QS Transfer sub-tab.
2. System presents the available QS balance for all species, and provides a field for the user to enter the QS% amount(s) and QS Price (by species) they wish to transfer.
3. User enters a QS% amount to transfer for one or more species, and optionally enters QS Price.
4. User indicates the transfer type by selecting from the following options:
   1. Cash Sale
   2. Barter (Swap Quota Share for Quota Share)
   3. Cash and Barter
   4. Self-Trade
   5. Other
5. User enters a value into the total monetary compensation box. System auto-adds a decimal point and zeroes for change if the user did not enter.
6. User optionally describes non-monetary compensation for the transfer in a text box.
7. User is provided a link to the QS Control Limits Table.
8. User clicks “Find QS Owners”.
9. System displays a box listing all QS permit owners, with two columns:
   1. QS Permit Number
   2. QS Permit Owner
10. User may search for QS permit owners by typing the QS permit number or QS permit owner name into the search box.
11. User must select one QS permit owner to transfer to by clicking on the row with the intended recipient QS permit owner name.
12. System auto-displays a transfer expiration date of 14 days.
13. User may edit the number of days in which the transfer will expire. They may select a value between 1 and 14 days (expiration date may not be less than 1 day or greater than 14 days).
14. User clicks “Next”.
15. System displays Quota Share Transfer Notice window. This notice warns the user that the transfer of QS is permanent, and describes the process for future QP allocations based on the QS.
16. User checks “I have read and understand the above notice” and clicks “Next”.
17. System displays Quota Share Transfer Review window. System displays:
    1. Date
    2. From QS Owner
    3. To QS Owner
    4. Total Monetary Compensation
    5. Days to Expire
    6. A table with IFQ species, Available QS%, Transfer QS% and QS Price for each IFQ species in the transaction
    7. Notes
    8. Paperwork Reduction Act (PRA) information and warnings
18. User clicks “Confirm”.
19. System validates that the transfer does not surpass the QS control limits for the recipient’s QS account.
20. System displays Quota Share Transfer Confirmation window.
21. User clicks “Close” or “Print”.
22. If user clicks “Print” on Quota Share Transfer Confirmation window, a PDF will be auto-generated with the details of the transaction.

**Alternative Flow**

1. User does not enter a percent to transfer – user is warned to enter an amount to transfer when they search for QS accounts.
2. User enters an amount that is greater than the amount available for transfers – user is warned to enter an amount less than or equal to available QS percent when they search for QS accounts.
3. User does not select a transfer type – user is warned to enter a transfer type when they search for QS accounts.
4. User does not enter a total monetary transaction amount – user is warned to enter a total monetary transaction amount when they search for QS accounts.
5. User does not select a QS account to transfer to – user cannot continue through the transfer process.
6. User enters a transfer expiration date of less than 1 or greater than 14 days – user is warned that the days of expiration must be between 1 and 14.
7. User navigates to another screen or clicks “Cancel” on any pop-up window – transfer is not completed.
8. User attempts to transfer an amount of QS that would put the recipient over the QS control limit (for species) or non-whiting groundfish QS control limit (all non-whiting/halibut QS%) – transfer fails and user is provided a reason for the failure.

QS Control Limits Table

|  |  |
| --- | --- |
| **IFQ Species** | **QS Control Limit (percent)** |
| Arrowtooth flounder | 10.0 |
| Bocaccio rockfish South of 40°10' N. | 13.2 |
| Canary rockfish | 4.4 |
| Chilipepper rockfish South of 40°10' N. | 10.0 |
| Cowcod South of 40°10' N. | 17.7 |
| Darkblotched rockfish | 4.5 |
| Dover sole | 2.6 |
| English sole | 5.0 |
| Lingcod North of 40°10' N. | 2.5 |
| Lingcod South of 40°10' N. | 2.5 |
| Longspine thornyheads North of 34°27' N. | 6.0 |
| Minor shelf rockfish North of 40°10' N. | 5.0 |
| Minor shelf rockfish South of 40°10' N. | 9.0 |
| Minor slope rockfish North of 40°10' N. | 5.0 |
| Minor slope rockfish South of 40°10' N. | 6.0 |
| Other flatfish | 10.0 |
| Pacific cod | 12.0 |
| Pacific halibut (IBQ) North of 40°10' N. | 5.4 |
| Pacific ocean perch North of 40°10' N. | 4.0 |
| Pacific whiting | 10.0 |
| Petrale sole | 3.0 |
| Sablefish North of 36° N. | 3.0 |
| Sablefish South of 36° N. | 10.0 |
| Shortspine thornyheads North of 34°27' N. | 6.0 |
| Shortspine thornyheads South of 34°27' N. | 6.0 |
| Splitnose rockfish South of 40°10' N. | 10.0 |
| Starry flounder | 10.0 |
| Widow rockfish | 5.1 |
| Yelloweye rockfish | 5.7 |
| Yellowtail rockfish North of 40°10' N. | 5.0 |
| **Non-whiting groundfish QS control limit (excludes Pacific whiting and Pacific halibut)** | **2.7** |